

# Lecture 9

Recall in last lecture we proved ‘**Identity theorem**’. If  $f \in \mathbf{Hol}(\mathcal{O})$  and if the zero set  $Z(f)$  has limit point, then  $f$  is identically 0 over  $\mathcal{O}$ . For example,

**Example-**  $f(z) = \sin \frac{1+z}{1-z}$ ,  $z \in \mathbb{D}$ , it is 0 when ever,  $(1+z)/(1-z) = 2n\pi$  for integer  $n$ , i.e.  $z = \frac{n\pi-1}{n\pi+1}$ . This is a countable set and this sequence, do not have any limit point in  $\mathbb{D}$ .

**Theorem 9.0.1 (Cauchy Integral theorem for simply connected domain)** Let  $f \in \mathbf{Hol}(\mathcal{O})$ . Then for any piece-wise smooth and closed curve  $\gamma$ ,

$$\oint_{\gamma} f(z) dz = 0$$

*Proof.* We know  $f$  is  $C^1$  as it is holomorphic. We can use Green’s theorem to get,

$$\oint_{\gamma} f dz = 2 \int_{\Sigma_{\gamma}} \bar{\partial} f dx dy$$

Since  $f \in \mathbf{Hol}(\mathcal{O})$  we have,  $\bar{\partial} f = 0$  and thus the integral is zero. ■

**REMARK :** The roots of holomorphic functions are in some sense ‘equivalent’ to roots of polynomials.

**Theorem 9.0.2 (Maximum Modulus Principle ;MMP)** Let,  $f \in \mathbf{Hol}(\mathcal{O})$ , where  $\mathcal{O}$  is domain and  $|f(z)| \leq |f(\alpha)|$  for some  $\alpha \in \mathcal{O}$ . Then  $f \equiv \text{constant}$ .

*Proof.* Let us consider the set,  $C = \{z \in \mathcal{O} : |f(z)| = |f(\alpha)|\}$ . Since, this set is non-empty it is a closed set. Now, we will prove this is open as well, then by connectedness of  $\mathcal{O}$  we can say,  $C$  is the whole set, and hence  $|f|$  is constant over this domain  $\Rightarrow f$  is constant.

**Claim-**  $C$  is open, i.e. ever point is an interior point.

*Proof.* Fix  $z_0 \in C$ , then there exists  $R > 0$  such that  $B_r(z_0) \subseteq \mathcal{O}$ . Consider,  $r < R$ . Then,

$$|f(\alpha)| = |f(z)| = \left| \frac{1}{2\pi i} \int_{C_r(z_0)} \frac{f(\zeta)}{\zeta - z} d\zeta \right|$$

We now convert this in polar form. Define,  $\zeta = z + re^{i\theta}$ ,  $\theta \in [0, 2\pi)$ . Therefore we have,

$$\begin{aligned} |f(\alpha)| &= \frac{1}{2\pi} \left| \int_0^{2\pi} \frac{f(z + re^{i\theta})}{re^{i\theta}} re^{i\theta} i d\theta \right| \\ &= \frac{1}{2\pi} \left| \int_0^{2\pi} f(z + re^{i\theta}) d\theta \right| \\ &\leq \frac{1}{2\pi} \int_0^{2\pi} |f(z + re^{i\theta})| d\theta \end{aligned}$$

But,  $|f(\alpha)| \geq |f(z)|$  for all  $z \in \mathcal{O}$ . Thus,  $\frac{1}{2\pi} \int_0^{2\pi} (|f(\alpha)| - |f(z + re^{i\theta})|) d\theta \leq 0$ . From the above inequality and using continuity we get  $|f(\alpha)| = |f(z + re^{i\theta})|$ . As this equality holds for all  $r < R$

we can say this equality holds over  $B_R(z_0)$ . So,  $B_R(z_0) \subseteq C$ . Thus  $C$  is open. With this we have finished the proof of Claim as well as the theorem. ■

**COROLLARY.** Let,  $f \in \mathbf{Hol}(\mathcal{O})$  and in this open set  $f(z) \neq 0$  for all  $z$ . Suppose,  $|f(z)| \geq |f(\alpha)|$ , for all  $z$ . Then  $f$  is constant on this domain. [For proof just use MMP on  $1/f$ ]

**Theorem 9.0.3 (Open Mapping Theorem)** Let  $f$  is a holomorphic function on  $\mathcal{O}$  and let it be a non-constant function. Then  $f$  is an open map.

*Proof.* (CARATHEODORY) Let,  $\tilde{\mathcal{O}} \subseteq \mathcal{O}$  open. To prove  $\mathcal{O}$  is open pick  $\alpha \in \tilde{\mathcal{O}}$  and WLOG  $f(\alpha) = 0$  contained in  $f(\tilde{\mathcal{O}})$ .

**Claim-** There exist  $\varepsilon > 0$  such that  $B_\varepsilon(0) \subseteq f(\tilde{\mathcal{O}})$  for some  $\varepsilon > 0$ .

*Proof.* As  $f \neq \text{constant}$ , there exist a disc  $\tilde{D}$  containing  $\alpha$  such that  $\tilde{D} \subseteq \tilde{\mathcal{O}}$  and  $0 \notin f(\tilde{D} \setminus \{\alpha\})$ . Evidently  $f(\tilde{D}) \subseteq f(\tilde{\mathcal{O}})$ . Thus enough to prove that for any such disc, there exist  $B_\varepsilon(0) \subseteq f(\tilde{D})$ . There exists a circle  $C$  centered at  $\alpha$  such that  $C \subseteq \tilde{D} \setminus \alpha$ . Set,  $\varepsilon := 1/2 \inf \{|f(z)| : z \in C\} > 0$ . Set,  $D = \Sigma_C$  enough to show that  $B_\varepsilon(0) \subseteq D$ . Now, fix  $w \in B_\varepsilon(0)$  (in other words  $|w| < \varepsilon$ ). Define  $\eta(z) := f(z) - w$ , for all  $z$  in the set  $D$ . Enough to prove,  $\eta$  has zero in  $D$ . We know,  $\eta \in \mathbf{Hol}(D \subseteq \text{domain})$ . Now  $|\eta(\alpha)| < \varepsilon$ . Also,  $z \in C$ ,  $|\eta(z)| \geq |f(z)| - |w| > \varepsilon$ . The MMP states that maxima or minima must occur at boundary. This is a contradiction !! The  $\eta$  has a zero in the set  $D$ , which completes the proof. ■